Instructor:

Brian C. Tyndall
btyndall@carrollu.edu
(262) 893-4092
Office Hours: 4:45 p.m. – 5:45 p.m., Business Hall Room 205, unless otherwise notified
Class Time & location: Monday, 6:00 p.m. – 9:35 p.m., Main Hall Room 201

Objective of This Course:

An advanced study of the current legal environment of businesses for accounting students taking the CPA exam or students interested in a career in law. (SP only) Pre-requisite: BUS290.

Required Text:


Supplemental Material, readings, or links may be assigned or handed out in class.

Attendance Policy:

Regular and prompt attendance is the best way to stay on track.

Most class periods will start with a quiz, and time to complete the quiz will generally be 30 minutes. The time frame for taking the quiz will be the same even in the event of a late arrival. Attendance will be considered in regard to the “class participation” segment of the grade, which is described below. If you need to miss a class, please inform me by email before the class.
Student Learning Outcomes:

- Knowledge of and facility with the relevant legal concepts, including the legal process, the laws and the regulations that affect business.
- Ability to perform basic legal research.
- Ability to understand, listen to, and discuss legal concepts and arguments.
- Ability to discuss legal, ethical, and technical issues in a thoughtful, professional manner with others, including with those who may have differing viewpoints.

Measures of Achievement and their Relationship to Student Learning Outcomes:

Class Participation (20% of your grade):

- To help measure students’ achievement, I will be using several class participation teaching methods: the Socratic Method (i.e., a form of inquiry and discussion, based on asking and answering questions to stimulate critical thinking and to illuminate ideas), small/large group discussion, and other in-class discussion exercises. The Socratic Method will be used primarily to discuss issues and cases in the text.
- All students are expected to read the text and know the topics and case excerpts in the text prior to each class, and be prepared to discuss them when called on or when participating in groups. Check the weekly schedule in the Syllabus for the chapters/topics covered for that week. Use the questions at the end of each chapter for your own review.
- Every student will be individually assigned to present cases in class. If you have a conflict on one of the days you are assigned a case, you are responsible for switching assignments with a classmate ahead of time. Also please notify me of the switch by email at btyndall@carrollu.edu.
- Requirements when you are individually assigned to present a case: This process will be covered in the first class. In general, you will present a summary of the case to the class and engage in a Socratic dialog about the concepts in it. To prepare for assigned cases/chapters, you will be responsible for having reviewed the FULL text of the case by looking up the assigned case in a legal database. One question that always be asked is “what was at least one thing that struck you about the full text of the case that we were not privy to in the excerpt found in the textbook?”

Quizzes (80% of your grade):

To help measure students’ achievement, there will be 10 quizzes as set forth in the Schedule below. The quizzes together count for 80% of the grade, and each quiz is worth up to 8% of the final grade. Each quiz will be handed out in paper format, at the start of the scheduled class.

There is no cumulative exam in this course. As such, there will not be a midterm or a final exam. The quizzes will cover both the material in the text and any material covered in class or assigned for the week(s) covered by the quiz. Each quiz will be a combination of objective (true/false or multiple choice) questions, and an extra-credit short answer or essay question. The short answer
or essay question, if answered correctly, will offset one incorrect objective answer, but will not increase the score above 100% for that quiz. Correct answers on the short answer or essay question, if not needed to offset an incorrect objective answer, will be considered in conjunction with the class participation grade.

No past exams from prior sessions of this course may be used as study guides or prep materials.

Quizzes that are not taken in class because you needed to miss class on the scheduled date may be taken during office hours, but only prior to the next class.

**Grading Scale/Weight:**

Students in this class will receive letter grades. There will be two components to the final grade.

<table>
<thead>
<tr>
<th>Class Participation</th>
<th>20%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Quizzes</td>
<td>80%</td>
</tr>
</tbody>
</table>

**Grading Scale:**

<table>
<thead>
<tr>
<th>Grade</th>
<th>Percentage Range</th>
</tr>
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<tbody>
<tr>
<td>A</td>
<td>100% - 93%</td>
</tr>
<tr>
<td>A/B</td>
<td>92% - 88%</td>
</tr>
<tr>
<td>B</td>
<td>87% - 80%</td>
</tr>
<tr>
<td>B/C</td>
<td>79% - 75%</td>
</tr>
<tr>
<td>C</td>
<td>74% - 67%</td>
</tr>
<tr>
<td>D</td>
<td>66% - 60%</td>
</tr>
<tr>
<td>F</td>
<td>59% - and below</td>
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</table>

Standard rounding will be used: 90.5% will be rounded up; 90.4% will be rounded down.

**Modifications of Course Content:**

The instructor and the University reserve the right to modify, amend, or change the syllabus (course requirements, grading policy, etc.) as the curriculum and/or program require(s).

**Accommodations:**

Students with documented disabilities who may need accommodations, or any student considering obtaining documentation should make an appointment with Ms. Martha Bledsoe, Director of Services for Students with Disabilities, no later than the first week of class. She can be reached by calling 262-524-7335 or contacting her via email at mbledsoe@carrollu.edu.

**Notice Regarding Academic Integrity:**

The Carroll University Academic Integrity Policy is located in your student handbook (https://my.carrollu.edu/ICS/Departments/Student_Affairs). I encourage you to familiarize yourself with it. If a student violates this policy in any way, I reserve the right to impose a sanction of failure on the assignment/assessment or failure in the course. If you have questions about appropriate citations, please ask.
Other Comments on How to Succeed

1. **Come to class.** If you must miss class for any reason, get notes, handouts, etc., from a classmate before the next class so you can stay on track.

2. **Use class time to speak up and engage in discussion.**

3. Help make this class a professional environment. Respect others and listen with an open mind.

4. If you send an email to me or a fellow student, it is best to err on the side of being too complete, rather than making your email too brief to be understood clearly.

5. **We will discuss a cell phone policy agreement in the first class.**

6. **Complete the assigned reading before you come to class and bring it with you when you come to class. Read and think critically.** By this I mean develop your own opinion or point of view about a question or issue that is supported by what we have studied. Be ready to explain or defend your opinion’s validity against the opinions of others and against contradictory evidence.

7. I will respond to your emails within 24 hours. My email checking and responding will generally be only during business hours, Monday through Friday.

8. **Office Hours:** It will work best to schedule an appointment with me by email, but you can stop in without an appointment if you don’t mind “first come, first served.” I will be best able to offer advice when there are concrete questions that cite specific materials. If my office hours do not work for you, email me and I will make every effort to find another time to meet with you.

**Schedule:**

**Week 1: Monday, January 30, 2017**

- Introduction and Course Overview
  - Individual introductions
  - Discussion of case review assignments and schedule
  - Review of chapters 18 (Performance and Discharge in Traditional and E-Contracts) and 19 (Breach of Contract and Remedies)
  - Group discussion of **JACOB & YOUNGS, Inc., v. KENT**
  - Powerpoint for quiz preparation: Chapters 18 and 19

**Week 2: Monday, February 6, 2017**

- Chapters 20 (The Formation of Sales and Lease Contracts) and 21 (Title, Risk, and Insurable Interest), and portions of Appendix C (NB – only the UCC sections referred to in your text)
  - Guest presenter Catherine Jorgens, J.D., University Counsel, Carroll University
  - Quiz 1 on chapters 18 and 19 (open book, this week only)
  - Case review by assigned students
  - Discussion of chapters 20 and 21
  - Powerpoint for quiz preparation: Chapters 20 and 21
Week 3: Monday, February 13, 2017

Chapters 22 (Performance and Breach of Sales and Lease Contracts) and 23 (Warranties)

- Guest presenter Dennis R. McBride, J.D., U.S. EEOC (retired)
- Quiz 2 on chapters 20 and 21
- Case review by assigned students
- Discussion of chapters 22 and 23
- Powerpoint for quiz preparation: Chapters 22 and 23

Week 4: Monday, February 20, 2017

Chapters 24 (International Law in a Global Economy) and 25 (The Function and Creation of Negotiable Instruments)

- Quiz 3 on chapters 22 and 23
- Case review by assigned students
- Discussion of chapters 24 and 25
- Powerpoint for quiz preparation: Chapters 24 and 25

Week 5: Monday, February 27, 2017

Chapters 26 (Transferability and Holder in Due Course) and 27 (Liability, Defenses, and Discharge)

- Quiz 4 on chapters 24 and 25
- Case review by assigned students
- Discussion of chapters 26 and 27
- Powerpoint for quiz preparation: Chapters 26 and 27

Week 6: Monday, March 6, 2017

Chapters 28 (Banking in the Digital Age) and 29 (Creditors’ Rights and Remedies)

- Quiz 5 on chapters 26 and 27
- Case review by assigned students
- Discussion of chapters 28 and 29

SPRING BREAK: Monday, March 13, 2017 – NO CLASS

Week 7: Monday March 20, 2017

Chapters 30 (Secured Transactions) and 31 (Bankruptcy Law)

- No Quiz this week
- Case review by assigned students
- Discussion of chapters 30 and 31
- Powerpoint for quiz preparation: Chapters 28, 29, 30, and 31
Week 8: Monday, March 27, 2017

Chapters 32 (Agency Formation and Duties) and 33 (Agency Liability to Third Parties and Termination)

- Quiz 6 on chapters 28, 29, 30, and 31
- Case review by assigned students
- Discussion of chapters 32 and 33
- Powerpoint for quiz preparation: Chapters 32 and 33

Week 9: Monday, April 3, 2017

Chapters 34 (Employment, Immigration, and Labor Law) and 35 (Employment Discrimination and Diversity)

- Quiz 7 on chapters 32 and 33
- Case review by assigned students
- Discussion of chapters 34 and 35
- Powerpoint for quiz preparation: Chapters 34 and 35

Week 10: Monday, April 10, 2017

Chapters 36 (Sole Proprietorships and Franchises) and 37 (Partnerships and Limited Liability Partnerships)

- Quiz 7 on chapters 34 and 35
- Case review by assigned students
- Discussion of chapters 36 and 37
- Powerpoint for quiz preparation: Chapters 36 and 37

Week 11: Monday, April 17, 2017

Chapters 38 (Other Organizational Forms for Small Business) and 39 (Corporate Formation and Financing)

- Quiz 8 on chapters 36 and 37
- Case review by assigned students
- Discussion of chapters 38 and 39
- Powerpoint for quiz preparation: Chapters 38 and 39

Week 12: Monday, April 24, 2017

Chapters 40 (Corporate Directors, Officers, and Shareholders) and 41 (Mergers and Takeovers)

- Quiz 9 on chapters 38 and 39
- Case review by assigned students
- Discussion of chapters 40 and 41
- Powerpoint for quiz preparation: Chapters 40 and 41
**Week 13: Monday, May 1, 2017**  
Chapters 47 (Professional Liability and Accountability) and CPA Exam Prep Process

- Quiz 10 on chapters 40 and 41
- Case review by assigned students
- Discussion of chapter 47
- Powerpoint: Chapter 47

**Week 14: Monday, May 8, 2017**

Final Class

- Overview of CPA Exam Sections
- Discussion of Course Outcomes